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(54) Title: THERAPEUTIC METHODS COMPRISING USE OF A NEUREGULIN

#### (57) Abstract

The invention provides methods for treatment and/or prophylaxis of certain neurological-related disorders, particularly treatment or prophylaxis of the effects of stroke, brain or spinal cord injury or ischemia, heart attack, optic nerve and retinal injury and ischemia and other acute-type conditions disclosed herein as well as chronic-type conditions, specifically epilepsy, Alzheimer's disease, Parkinson's disease, Huntington's disease, Amyotrophic Lateral Sclerosis, Down's Syndrome, Korsakoff's disease, cerebral palsy and/or age-dependent dementia. The methods of the invention comprise administration of a neuregulin, or fragment or derivative of a neuregulin, or a nucleic acid encoding a neuregulin or a neuregulin fragment or derivative, to a patient suffering from or susceptible to such conditions.

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## THERAPEUTIC METHODS COMPRISING USE OF A NEUREGULIN

### BACKGROUND OF THE INVENTION

#### 1. Field of the Invention

The present invention relates to methods for treatment of certain neurological-related injuries and disorders comprising use of a neuregulin, or a fragment or derivative of a neuregulin, or a nucleic acid encoding a neuregulin or neuregulin fragment or derivative.

#### 2. Background

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Nerve cell death (degeneration) can cause potentially devastating and irreversible effects for an individual and may occur e.g. as a result of stroke, heart attack or other brain or spinal chord ischemia or trauma. Additionally, neurodegenerative disorders involve nerve cell death (degeneration) such as Alzheimer's disease, Parkinson's disease, Huntington's disease, Amyotrophic Lateral Sclerosis, Down's Syndrome and Korsakoff's disease.

Therapies have been investigated to treat nerve cell degeneration and related disorders, e.g., by limiting the extent of nerve cell death that may otherwise occur to an individual as well as promoting repair, remodeling and reprogramming after stroke or other neuronal injury. See, e.g., F. Seil, *Curr Opin Neuro*, 10:49-51 (1997); N. L. Reddy et al., *J Med Chem*, 37:260-267 (1994); and WO 95/20950.

Certain growth factors have been reported to exhibit neuroprotective properties. In particular, nerve growth factor (NGF) has been evaluated in certain neuroprotective models. See, for example, G. Sinson et al., *J Neurosurg*, 86(3):511-518 (1997); and G. Sinson et al., *J Neurochem*, 65(5):2209-2216 (1995). Osteogenic protein-1 (OP-1) has been evaluated in a rat model of cerebral hypoxia/ischemia for neuroprotective activity. G. Perides, *Neurosci Lett*, 1871):21-24 (1995). Glial cell line-derived neurotrophic factor (GDNF) was reported to exhibit trophic activity on certain populations of central neurons. Y. Wang et al., *J Neurosci*, 17(11):4341-4348 (1997). Small molecules also have been investigated as neuroprotective agents, such

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as MK-801. See B. Meldrum, Cereb Brain Metab Rev, 2:27-57 (1990); D. Choi, Cereb Brain Metab Rev, 2:27-57 (1990).

However, no effective pharmacotherapies are in regular clinical use for ischemia-induced brain injury or other such injuries and disorders. See, for example, Y. Wang et al., *supra*; G. Sinson et al., *J Neurochem*, *J Neurochem*, 65(5):2209 (1995).

It thus would be highly desirable to have new neuroprotective agents, particularly agents to limit the extent or otherwise treat nerve cell death (degeneration) that occur with stroke, heart attack or brain or spinal cord trauma, or to treat Alzheimer's disease, Parkinson's disease, Huntington's disease, Amyotrophic Lateral Sclerosis, Down's Syndrome and Korsakoff's disease. It also would be desirable to have agents that promote repair, remodeling or reprogramming after stroke or other neuronal injury.

### SUMMARY OF THE INVENTION

The present invention provides methods for treatment and/or prophylaxis of certain neurological-related disorders, particularly treatment or prophylaxis of the effects of stroke, brain or spinal cord injury or ischemia, heart attack, optic nerve and retinal injury and ischemia and other acute-type conditions disclosed herein as well as chronic-type conditions, specifically epilepsy, Alzheimer's disease, Parkinson's disease, Huntington's disease, Amyotrophic Lateral Sclerosis, Down's Syndrome, Korsakoff's disease, cerebral palsy and/or age-dependent dementia. Methods of the invention also include therapies for promoting repair, remodeling or reprogramming after stroke or other neuronal injury.

The methods of the invention comprise administration of an effective amount of neuregulin, or fragment or derivative of a neuregulin, or a nucleic acid encoding a neuregulin or a neuregulin fragment or derivative (i.e. gene therapy), to a patient suffering from or susceptible to such conditions.

Neuregulins are members of the epidermal growth factor (EGF) superfamily and include glial growth factor (GGF), acetylcholine receptor-inducing activity (ARIA), neu differentiation factor (NDF) and heregulins (HRF). See D. E. Wen et al., Cell, 69:559-572 (1992); W.E. Holmes et al., Science, 256:1205-1210 (1992); M.A. Marchionni et al., Nature, 362:312-318 (1993); and D.L. Falls, Cell, 72:801-815

(1993). A variety of neuregulins and fragments and derivatives thereof can be employed in the methods of the invention. For example, suitable agents have been disclosed in U.S. Patent 5,530,109 and PCT/US93/07491. Neuregulins also have been reported in U.S. Patent 5,367,060. Preferred neuregulins include regions shown in FIGS. 1-2 (SEQ ID NOS. 2 and 4), also known as the E sequence. Preferred neuregulins or fragments or derivatives also include those that contain the C, C/D or C/D' sequences as shown in Figures 7, 8 and 9 respectively of the drawings, or those neuregulins or fragments or derivatives that have substantial homology to the peptide sequences shown in Figures 7, 8 or 9, e.g. at least about 70 percent homology, or at least about 80 percent homology, or more preferably at least about 90 or 95 percent homology to the peptide sequences shown in Figures 7, 8 or 9. Preferred nucleic acids and fragments and derivatives for use in the methods of the invention include those nucleic acids that include one or more nucleic acids sequences shown in Figures 7, 8 and 9 of the drawings, or those nucleic acids that that have substantial homology to the nucleic acid sequences shown in Figures 7, 8 or 9, e.g. at least about 70, 80, 90 or 95 percent homology to the nucleic acid sequences shown in Figures 7, 8 or 9. A particularly preferred neuregulin is encoded by DNA obtainable from the clone pGGF2HBS11 (ATCC Deposit No. 75347). Also preferred are neuregulins encoded by DNA obtainable from GGF2BPP5, GGF2BPP2 and GGF2BPP4.

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Typical patients that may be treated in accordance with the methods of the invention are persons suffering from brain or spinal cord trauma or ischemia, stroke, heart attack, hypoxia, hypoglycemia, post-surgical neurological deficits, decreased blood flow or nutrient supply to retinal tissue or optic nerve, retinal trauma or ischemia or optic nerve injury. Patients suffering from chronic-type conditions also may be treated in accordance with the invention, specifically subjects suffering from or susceptible to epilepsy, Parkinson's disease, Huntington's disease, Amyotrophic Lateral Sclerosis, Alzheimer's disease, Down's Syndrome, Korsakoff's disease, cerebral palsy and/or age-dependent dementia.

Also, as discussed above, a neuregulin or fragment or derivative thereof or nucleic acid encoding same, may be administered to promote repair, remodeling or reprogramming to a subject that has suffered stroke or other neuronal injury such as traumatic brain or spinal cord injury. In such cases, the therapeutic agent may be

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suitably administered to the subject over an extended period following the injury, e.g. at least about 1, 2, 3, 4, 6, 8, 12 or 16 weeks following the injury.

Other aspects of the invention are disclosed infra.

### BRIEF DESCRIPTION OF THE DRAWINGS

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FIG. 1 shows a nucleotide sequence (SEQ ID NO:1) encoding a preferred neuregulin region (E segment of human GGF) and the amino acid sequence (SEQ ID NO:2) of that preferred region.

FIG. 2 shows a nucleotide sequence (SEQ ID NO:3) encoding a preferred neuregulin region (E segment of bovine GGF) and the amino acid sequence (SEQ ID NO:4) of that preferred region.

FIG. 3 shows nucleotide sequences (SEQ ID NOS:6-7) encoding further neuregulin regions (B segment of human and bovine GGF) and amino acid sequences (SEQ ID NOS:5 and 8) of those regions. Line 1 is the predicted amino acid sequence of bovine B segment, line 2 is a nucleotide sequence of bovine B segment, line 3 is a nucleotide sequence of human B segment (nucleotide base matches are indicated with a vertical line), and line 4 is the predicted amino acid sequence of human B segment shown where it differs from the bovine sequence set forth in line 1 of the figure.

FIG. 4 shows nucleotide sequences (SEQ ID NOS:10-11) encoding further neuregulin regions (A segment of human and bovine GGF) and amino acid sequences (SEQ ID NOS:9 and 12) of those regions. Line 1 is the predicted amino acid sequence of bovine A segment, line 2 is a nucleotide sequence of bovine A segment, line 3 is a nucleotide sequence of human A segment (nucleotide base matches are indicated with a vertical line), and line 4 is the predicted amino acid sequence of human A segment shown where it differs from the bovine sequence set forth in line 1 of the figure.

FIG. 5 shows a nucleotide sequence (SEQ ID NO:13) encoding a further neuregulin region (A' segment of bovine GGF) and the predicted amino acid sequence (SEQ ID NO:14) of that region.

FIG. 6 shows nucleotide sequences (SEQ ID NOS:16-17) encoding further neuregulin regions (G segment of bovine and human GGF) and amino acid sequences (SEQ ID NOS:15 and 18) of that region. Line 1 is the predicted amino acid sequence of bovine G segment, line 2 is a nucleotide sequence of bovine G segment, line 3 is a

nucleotide sequence of human G segment (nucleotide base matches are indicated with a vertical line), and line 4 is the predicted amino acid sequence of human G segment shown where it differs from the bovine sequence set forth in line 1 of the figure.

FIG. 7 shows nucleotide sequences (SEQ ID NOS:20-21) encoding further neuregulin regions (C segment of bovine and human GGF) and amino acid sequences (SEQ ID NOS:19 and 22) of those regions. Line 1 is the predicted amino acid sequence of bovine C segment, line 2 is a nucleotide sequence of bovine C segment, line 3 is a nucleotide sequence of human C segment (nucleotide base matches are indicated with a vertical line), and line 4 is the predicted amino acid sequence of human C segment shown where it differs from the bovine sequence set forth in line 1 of the figure.

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FIG. 8 shows nucleotide sequences (SEQ ID NOS:24-25) encoding further neuregulin regions (C/D segment of human and bovine GGF) and amino acid sequences (SEQ ID NOS:23 and 26) of those regions. Line 1 is the predicted amino acid sequence of bovine C/D segment, line 2 is a nucleotide sequence of bovine C/D segment, line 3 is a nucleotide sequence of human C/D segment (nucleotide base matches are indicated with a vertical line), and line 4 is the predicted amino acid sequence of human C/D segment shown where it differs from the bovine sequence set forth in line 1 of the figure.

FIG. 9 shows nucleotide sequences (SEQ ID NOS:28-29) encoding a further neuregulin region (C/D' segment of the human and bovine GGF) and the amino acid sequence (SEQ ID NO:27) of that region. Line 1 is the predicted amino acid sequence of the C/D' segment, line 2 is a nucleotide sequence of bovine C/D' segment and line 3 is a nucleotide sequence of human C/D' segment (nucleotide base matches are indicated with a vertical line).

FIG. 10 shows nucleotide sequences (SEQ ID NOS:31-32) encoding a further neuregulin region (D segment of the human and bovine GGF) and the amino acid sequence (SEQ ID NO:30) of that region. Line 1 is the predicted amino acid sequence of the D segment, line 2 is a nucleotide sequence of bovine D segment and line 3 is a nucleotide sequence of human D segment (nucleotide base matches are indicated with a vertical line).

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FIG. 11 shows nucleotide sequence (SEQ ID NO:34) encoding a further neuregulin region (D' segment of bovine GGF) and the amino acid sequence (SEQ ID NO:33) of that region.

FIGS. 12A-12B show nucleotide sequences (SEQ ID NOS:36-37) encoding further neuregulin regions (H segment of human and bovine GGF) and amino acid sequences (SEQ ID NO:35 and 38) of that region. Line 1 is the predicted amino acid sequence of bovine H segment, line 2 is a nucleotide sequence of bovine H segment, line 3 is a nucleotide sequence of human H segment (nucleotide base matches are indicated with a vertical line), and line 4 is the predicted amino acid sequence of human H segment shown where it differs from the bovine sequence set forth in line 1 of the figure.

FIG. 13 shows a nucleotide sequence (SEQ ID NO:40) encoding a further neuregulin region (K segment of bovine GGF) and the amino acid sequence (SEQ ID NO:39) of that region.

FIGS. 14A-14C show nucleotide sequences (SEQ ID NOS:42-43) encoding a further neuregulin region (L segment of bovine and human GGF) and amino acid sequences (SEQ ID NO:41 and 44) of that region. Line 1 is the predicted amino acid sequence of bovine L segment, line 2 is a nucleotide sequence of bovine L segment, line 3 is a nucleotide sequence of human L segment (nucleotide base matches are indicated with a vertical line), and line 4 is the predicted amino acid sequence of human L segment shown where it differs from the bovine sequence set forth in line 1 of the figure.

FIG. 15 shows nucleotide sequences (SEQ ID NOS:46-47) encoding further neuregulin regions (F segment of bovine and human GGF) and amino acid sequences (SEQ ID NOS:45 and 48) of that region. Line 1 is the predicted amino acid sequence of bovine F segment, line 2 is a nucleotide sequence of bovine F segment, line 3 is a nucleotide sequence of human F segment (nucleotide base matches are indicated with a vertical line), and line 4 is the predicted amino acid sequence of human F segment shown where it differs from the bovine sequence set forth in line 1 of the figure.

FIGS. 16A-16C show the nucleotide sequence (SEQ ID NO:49) and deduced amino acid sequence (SEQ ID NO:50) of GGF2BPP4.

FIGS. 17A-17B show the nucleotide sequence (SEQ ID NO:51) and deduced amino acid sequence (SEQ ID NO:52) of GGF2BPP2.

FIGS. 18A-18B show the nucleotide sequence (SEQ ID NO:53) and deduced amino acid sequence (SEQ ID NO:54) of GGF2BPP5.

# 5 DETAILED DESCRIPTION OF THE INVENTION

As discussed above, preferred neuregulins for use in the therapeutic methods of the present invention include those disclosed in U.S. Patent 5,530,109 and PCT/US93/07491, incorporated herein by reference. Particularly preferred neuregulins comprise an amino acid sequence of the following formula:

**WYBAZCX** 

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wherein WYBAZCX is composed of amino acid sequences that include one or more sequences shown in FIGS. 1 through 15 (which includes SEQ ID NOS:2, 4, 5, 8, 9, 12, 14, 15, 18, 19, 22, 23, 26, 27, 30, 33, 35, 38, 39, 41, 44, 45 and 48), wherein W comprises the polypeptide segment F, or is absent; wherein Y comprises the polypeptide segment G or is absent; and wherein X comprise a polypeptide segment selected from the group consisting of C/D HKL, C/D H, C/D HL, C/D D, C/D' HL, C/D' HKL, C/D' H, C/D' D, C/D' HKL, C/D' HKL, C/D C/D' H, C/D C/D' HL, C/D C/D' D, C/D D' HKL, C/D D' HKL, C/D C/D' D' HKL,

- a) at least one of F, Y, B, A, Z, C or X is of bovine origin; or
- b) Y comprises the polypeptide segment E; or
- c) X comprises the polypeptide segments C/D HKL, C/D D, C/D' HKL, C/D C/D' HKL, C/D C/D' D, C/D D' H, C/D D' HL, C/D D' HKL, C/D' D' H, C/D' D' HKL, C/D C/D' D' H, C/D C/D' D HL, C/D C/D' D' HKL, C/D C/D' H or C/D C/D' HL.

Particularly preferred neuregulins also include those polypeptides that include the segments FB polypeptides that include the segments FBA' (i.e. the groups F, B and A' as defined herein including in the drawings); polypeptides that include the segments EBA (i.e. the groups E, B and A as defined herein including in the drawings); polypeptides that include the segments EBA' (i.e. the groups E, B and A' as defined herein including in the drawings); A (i.e. the group A as defined herein

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including in the drawings); polypeptides that include the segments FEBA (i.e. the groups F, E, B and A as defined herein including in the drawings); polypeptides that include the segments FBA' (i.e. the groups F, B and A' as defined herein including in the drawings); and polypeptides that include the segments FEBA' (i.e. the groups F, E, B and A' as defined herein including in the drawings).

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Also preferred are nucleic acids that code for the above preferred polypeptides.

A "fragment" or "derivative" of a neuregulin refers to herein 1) a peptide in which one or more amino acid residues are with a conserved or non-conserved amino acid residue (preferably a conserved amino acid residue) and such substituted amino acid residue may or may not be one encoded by the genetic code, or (ii) a peptide in which one or more of the amino acid residues includes a substituent group, or (iii) a peptide in which the mature protein is fused with another compound, such as a compound to increase the half-life of the polypeptide (for example, polyethylene glycol). Thus, a fragment or derivative for use in accordance with the methods of the invention includes a proprotein, which can be activated by cleavage of the proprotein portion to produce an active mature polypeptide.

The polypeptide fragments and derivatives of the invention are of a sufficient length to uniquely identify a region of a neuregulin. Neuregulin fragments thus preferably comprise at least 8 amino acids, usually at least about 12 amino acids, more usually at least about 15 amino acids, still more typically at least about 30 amino acids, even more typically at least about 50 or 70 amino acids. Preferred fragments or derivatives for use in the methods of the invention include those that have at least about 70 percent homology (sequence identity) to any of the preferred sequences mentioned above, more preferably about 80 percent or more homology to any of the preferred sequences mentioned above, still more preferably about 85 to 90 percent or more homology to any of the preferred sequences mentioned above. Sequence identity or homology with respect to a neuregulin as referred to herein is the percentage of amino acid sequences of a neuregulin protein or fragment or derivative thereof that are identical with a specified sequence, after introducing any gaps necessary to achieve the maximum percent homology.

The neuregulin fragments and derivatives for use in the methods of the invention preferably exhibit good activity in standard neuroprotective assays such as

the *in vivo* cerebral ischemia assay of Example 1, which follows. That assay includes the following steps: a) continuous intraventricular infusion of the protein fragment or derivative or vehicle alone to test rats for three days prior to inducing focal ischemic infarcts in right lateral cerebral cortex; and b) twenty-four hours after inducing ischemic infarcts, infarct volume in each test animal is determined by image analysis. Preferably, a protein fragment or derivative of the invention provides at least about a 10% reduction in infarct volume relative to vehicle-treated animals, more preferably about a 20% reduction in infarct volume, still more preferably about a 25% reduction in infarct volume relative to vehicle-treated animals in such an assay. References herein to *in vivo* cerebral ischemia assay are intended to refer to an assay of the above steps a) and b), which are more fully described in Example 1 which follows.

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As discussed above, neuregulin nucleic acid fragments and derivatives are also provided for use in the methods of the invention. Those fragments and derivatives typically are of a length sufficient to bind to a sequence of any of the nucleic acid sequences shown in Figures 1-15 of the drawings, including SEQ ID NOS:1, 3, 6, 7, 10, 11, 13, 16, 17, 20, 21, 24, 25, 28, 29, 31, 32, 34, 36, 37, 40, 42 and 43 under the following moderately stringent conditions (referred to herein as "normal stringency" conditions): use of a hybridization buffer comprising 20% formamide in 0.8M saline/0.08M sodium citrate (SSC) buffer at a temperature of 37°C and remaining bound when subject to washing once with that SSC buffer at 37°C.

Preferred neuregulin nucleic acid fragments and derivatives of the invention will bind to a sequence of any of the nucleic acid sequences shown in Figures 1-15 of the drawings, including SEQ ID NOS:1, 3, 6, 7, 10, 11, 13, 16, 17, 20, 21, 24, 25, 28, 29, 31, 32, 34, 36, 37, 40, 42 and 43 under the following highly stringent conditions (referred to herein as "high stringency" conditions): use of a hybridization buffer comprising 20% formamide in 0.9M saline/0.09M sodium citrate (SSC) buffer at a temperature of 42°C and remaining bound when subject to washing twice with that SSC buffer at 42°C.

The neuregulin nucleic acid fragments and derivatives preferably should comprise at least 20 base pairs, more preferably at least about 50 base pairs, and still more preferably a nucleic acid fragment or derivative of the invention comprises at least about 100, 200, 300 or 400 base pairs. In some preferred embodiments, the

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nucleic acid fragment or derivative is bound to some moiety which permits ready identification such as a radionucleotide, fluorescent or other chemical identifier.

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Isolated neuregulin and peptide fragments or derivatives of the invention are preferably produced by recombinant methods, although suitable neuregulins also can be isolated from various sources. See the procedures disclosed U.S. Patent 5,530,109; U.S. Patent 5,367,060; and PCT/US93/07491, incorporated herein by reference. A wide variety of molecular and biochemical methods are available for generating and expressing neuregulin; see e.g. the procedures disclosed in Molecular Cloning, A Laboratory Manual (2nd Ed., Sambrook, Fritsch and Maniatis, Cold Spring Harbor). Current Protocols in Molecular Biology (Eds. Aufubel, Brent, Kingston, More, Feidman, Smith and Stuhl, Greene Publ. Assoc., Wiley-Interscience, NY, N.Y. 1992) or other procedures that are otherwise known in the art. For example, neuregulin or fragments or derivatives thereof may be obtained by chemical synthesis, or more preferably by expression in bacteria such as E coli and eukaryotes such as yeast, baculovirus, or mammalian cell-based expression systems, etc., depending on the size, nature and quantity of neuregulin or fragment or derivative thereof. More particularly, a recombinant DNA molecule comprising a vector and a DNA segment encoding neuregulin, or a fragment or derivative thereof, can be constructed. Suitable vectors include e.g. baculovirus-derived vectors for expression in insect cells (see Pennock et al., Mol. Cell. Biol., 4:399-406 (1984)), T7-based expression vector for 20 expression in bacteria (see Rosenberg et al., Gene, 56:125-135 (1987)) and the pMSXND expression vector for expression in mammalian cells (Lee and Nathans, J. Biol. Chem., 263:3521-3527 (1988)). The DNA segment can be present in the vector operably linked to regulatory elements, e.g., a promoter (e.g., polyhedron, T7 or metallothionein (Mt-I) promoters), or a leader sequence to provide for secretory 25 expression of the polypeptide. The recombinant DNA molecule containing the DNA coding for a neuregulin or a fragment or derivative thereof can be introduced into appropriate host cells by known methods. Suitable host cells include e.g. prokaryotes such as E. coli, Bacillus subtilus, etc., and eukaryote such as animal cells and yeast strains, e.g., S. cerevisiae. Mammalian cells may be preferred such as J558, NSO. 30 SP2-O or CHO. In general, conventional culturing conditions can be employed. See Sambrook, supra. Stable transformed or transfected cell lines can then be selected.

The expressed neuregulin or fragment or derivative thereof then can be isolated and purified by known methods. Typically the culture medium is centrifuged and the supernatant purified by affinity or immunoaffinity chromatography, e.g. Protein-A or Protein-G affinity chromatography or an immunoaffinity protocol comprising use of monoclonal antibodies that bind neuregulins.

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Neuregulin nucleic acids used in the methods of the invention are typically isolated, meaning the nucleic acids comprise a sequence joined to a nucleotide other than that which it is joined to on a natural chromosome and usually constitute at least about 0.5%, preferably at least about 2%, and more preferably at least about 5% by weight of total nucleic acid present in a given fraction. A partially pure nucleic acid constitutes at least about 10%, preferably at least about 30%, and more preferably at least about 60% by weight of total nucleic acid present in a given fraction. A pure nucleic acid constitutes at least about 80%, preferably at least about 90%, and more preferably at least about 95% by weight of total nucleic acid present in a given fraction.

As discussed above, the present invention includes methods for treating and preventing certain neurological-related injuries and disorders, comprising the administration of an effective amount of a neuregulin or fragment or derivative thereof, or nucleic acid encoding same, to a subject including a mammal, particularly a human, in need of such treatment.

In particular, the invention provides methods for treatment and/or prophylaxis of nerve cell death (degeneration) resulting from hypoxia, hypoglycemia, brain or spinal cord ischemia, brain or spinal cord trauma, stroke, heart attack or drowning. Typical candidates for treatment include e.g. heart attack, stroke and/or persons suffering from cardiac arrest neurological deficits, brain or spinal cord injury patients, patients undergoing major surgery such as heart surgery where brain ischemia is a potential complication and patients such as divers suffering from decompression sickness due to gas emboli in the blood stream. Candidates for treatment also will include those patients undergoing a surgical procedure involving extra-corporal circulation such as e.g. a bypass procedure.

The invention also provides methods for treatment which comprise administration of a neuregulin or fragment or derivative thereof, or nucleic acid

encoding same, to a patient that is undergoing surgery or other procedure where brain or spinal cord ischemia is a potential risk. For example, carotid endarterectomy is a surgical procedure employed to correct atherosclerosis of the carotid arteries. Major risks associated with the procedure include intraoperative embolization and the danger of hypertension in the brain following increased cerebral blood flow, which may result in aneurysm or hemorrhage. Thus, an effective amount of a neuregulin or fragment or derivative thereof, or nucleic acid encoding same, could be administered pre-operatively or peri-operatively to reduce such risks associated with carotid endarterectomy, or other post-surgical neurological deficits.

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The invention also is effective to promote and enhance recovery from acute nerve cell death and neurological conditions. Thus, for example, a neuregulin or fragment or derivative thereof, or nucleic acid encoding same, could be administered to promote repair, remodeling or reprogramming to a patient that has suffered from stroke or other neuronal injury, suitably for an extended period as discussed above. A therapeutic agent of the invention also could be administered post-operatively to promote recovery from any neurological deficits that may have occurred to a patient that has undergone surgery.

The invention further includes methods for prophylaxis against neurological deficits resulting from e.g. coronary artery bypass graft surgery and aortic valve replacement surgery, or other procedure involving extra-corporal circulation. Those methods will comprise administering to a patient undergoing such surgical procedures an effective amount of a neuregulin or fragment or derivative thereof, or nucleic acid encoding same, typically either pre-operatively or peri-operatively.

The invention also provides methods for prophylaxis and treatment against neurological injury for patients undergoing myocardial infarction, a procedure that can result in ischemic insult to the patient. Such methods will comprise administering to a patient undergoing such surgical procedure an effective amount of a neuregulin or fragment or derivative thereof, or nucleic acid encoding same, typically either preoperatively or peri-operatively.

Also provided are methods for treating or preventing neuropathic pain such as may be experienced by cancer patients, persons having diabetes, amputees and other persons who may experience neuropathic pain. These methods for treatment comprise

administration of an effective amount of a neuregulin or fragment or derivative thereof, or nucleic acid encoding same, to a patient in need of such treatment.

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The invention also provides methods for treatment and prophylaxis against retinal ischemia or degeneration and resulting visual loss. For example, a neuregulin or fragment or derivative thereof, can be administered parenterally or by other procedure as described herein to a subject a suffering from or susceptible to ischemic insult that may adversely affect retinal function, e.g., significantly elevated intraocular pressures, diseases such as retinal artery or vein occlusion, diabetes or other ischemic ocular-related diseases. Post-ischemic administration also may limit retinal damage. The invention also includes methods for treating and prophylaxis against decreased blood flow or nutrient supply to retinal tissue or optic nerve, or treatment or prophylaxis against retinal trauma or optic nerve injury. Subjects for treatment according to such therapeutic methods of the invention may be suffering or susceptible to retinal ischemia that is associated with atherosclerosis, venous capillary insufficiency, obstructive arterial or venous retinopathies, senile macular degeneration, cystoid macular edema or glaucoma, or the retinal ischemia may be associated with a tumor or injury to the mammal. Intravitreal injection also may be a preferred administration route to provide more direct treatment to the ischemic retina.

The invention further provides a method of treating Korsakoff's disease, a chronic alcoholism-induced condition, comprising administering to a subject including a mammal, particularly a human, an effective amount of a neuregulin or fragment or derivative thereof, in an amount effective to treat the disease.

Compounds of the invention are anticipated to have utility for the attenuation of cell loss, hemorrhages and/or amino acid changes associated with Korsakoff's disease.

The invention further includes methods for treating a person suffering from or susceptible to epilepsy, emesis, narcotic withdrawal symptoms and age-dependent dementia, comprising administering to a subject including a mammal, particularly a human, an effective amount of a neuregulin or fragment or derivative thereof, in an amount effective to treat the condition.

It will be appreciated that in some instances a neuregulin or a fragment or derivative thereof will be preferably administered to a subject rather than a neuregulin nucleic acid, particularly where a patient is suffering from or susceptible to an acute

neurological injury that demands immediate therapy. For example, administration of a neuregulin polypeptide may be preferred to a patient suffering from stroke, heart attack, traumatic brain injury and the like where it is desired to deliver the active therapeutic as quickly as possible.

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In the therapeutic methods of the invention, neuregulin peptides and nucleic acids may be suitably administered to a subject such as a mammal, particularly a human, by any of a number of routes including parenteral (including subcutaneous, intramuscular, intravenous and intradermal), oral, rectal, nasal, vaginal and optical (including buccal and sublingual) administration. A neuregulin protein or nucleic acid or fragment or derivative thereof may be administered to a subject alone or as part of a pharmaceutical composition, comprising the peptide or nucleic acid together with one or more acceptable carriers and optionally other therapeutic ingredients. The carriers should be "acceptable" in the sense of being compatible with the other ingredients of the formulation and not deleterious to the recipient thereof.

Nucleic acids encoding a neuregulin or a neuregulin fragment or derivative can be administered to a patient by generally known gene therapy procedures. See, for example, WO 90/11092 and WO 93/00051. Thus, for instance, the nucleic acids may be introduced into target cells by any method which will result in the uptake and expression of the nucleic acid by the target cells. These methods can include vectors, liposomes, naked DNA, adjuvant-assisted DNA, catheters, etc. Preferably, the administered nucleic acid codes for an appropriate secretory sequence to promote expression upon administration. Suitable vectors for administering a nucleic acid in accordance with the invention include chemical conjugates such as described in WO 93/04701, which has targeting moiety (e.g. a ligand to a cellular surface receptor), and a nucleic acid binding moiety (e.g. polylysine), viral vector (e.g. a DNA or RNA viral vector), fusion proteins such as described in PCT/US 95/02140 (WO 95/22618) which is a fusion protein containing a target moiety (e.g. an antibody specific for a target cell) and a nucleic acid binding moiety (e.g. a protamine), plasmids, phage, etc. The vectors can be chromosomal, non-chromosomal or synthetic.

Preferred vectors include viral vectors, fusion proteins and chemical conjugates. Retroviral vectors include moloney murine leukemia viruses. DNA viral vectors are preferred. These vectors include pox vectors such as orthopox or avipox

vectors, herpes virus vectors such as a herpes simplex I virus (HSV) vector [A.I. Geller et al., J. Neurochem, 64:487 (1995); F. Lim et al., in DNA Cloning:

Mammalian Systems, D. Glover, Ed. (Oxford Univ. Press, Oxford England) (1995);

A.I. Geller et al., Proc Natl. Acad. Sci. U.S.A.:90 7603 (1993); A.I. Geller et al., Proc Natl. Acad. Sci USA, 87:1149 (1990)], Adenovirus Vectors [LeGal LaSalle et al., Science, 259:988 (1993); Davidson, et al., Nat. Genet., 3:219 (1993); Yang et al., J. Virol., 69:2004 (1995)] and Adeno-associated Virus Vectors [Kaplitt, M.G., et al., Nat. Genet., 8:148 (1994)].

Pox viral vectors introduce the gene into the cell cytoplasm. Avipox virus vectors result in only a short-term expression of the nucleic acid. Adenovirus vectors, adeno-associated virus vectors and herpes simplex virus (HSV) vectors are preferred for introducing the nucleic acid into neural cells. The adenovirus vector results in a shorter term expression (about 2 months) than adeno-associated virus (about 4 months), which in turn is shorter than HSV vectors. The particular vector chosen will depend upon the target cell and the specific condition being treated. The introduction can be by standard techniques, e.g. infection, transfection, transduction or transformation. Examples of modes of gene transfer include e.g., naked DNA,  $Ca_3(PO_4)_2$  precipitation, DEAE dextran, electroporation, protoplast fusion, lipofecton, cell microinjection, and viral vectors.

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A vector can be employed to target essentially any desired target cell. For example, stereotaxic injection can be used to direct the vectors (e.g. adenovirus, HSV) to a desired location. Additionally, the particles can be delivered by intracerebroventricular (icv) infusion using a minipump infusion system, such as a SynchroMed Infusion System. A method based on bulk flow, termed convection, has also proven effective at delivering large molecules to extended areas of the brain and may be useful in delivering the vector to the target cell (Bobo et al., *Proc. Natl. Acad. Sci. USA*, 91:2076-2080 (1994); Morrison et al., *Am. J. Physiol.*, 266:292-305 (1994)). Other methods that can be used include catheters, intravenous, parenteral, intraperitoneal and subcutaneous injection, and oral or other known routes of administration.

Parenteral formulations for administration of a neuregulin or a fragment or derivative thereof may be in the form of liquid solutions or suspensions; for oral

administration, formulations may be in the form of tablets or capsules; and for intranasal formulations, in the form of powders, nasal drops, or aerosols.

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Methods well known in the art for making formulations are found in, for example, "Remington's Pharmaceutical Sciences". Formulations for parenteral administration may, for example, contain as excipients sterile water or saline, polyalkylene glycols such as polyethylene glycol, oils of vegetable origin, or hydrogenated naphthalenes, biocompatible, biodegradable lactide polymer, or polyoxyethylene-polyoxypropylene copolymers may be used to control the release of the present factors. Other potentially useful parenteral delivery systems for a neuregulin or fragments or derivatives thereof include ethylene-vinyl acetate copolymer particles, osmotic pumps, implantable infusion systems, and liposomes. Formulations for inhalation may contain as excipients, for example, lactose, or may be aqueous solutions containing, for example, polyoxyethylene-9-lauryl ether, glycocholate and deoxycholate, or may be oily solutions for administration in the form of nasal drops, or as a gel to be applied intranasally. Formulations for parenteral administration may also include glycocholate for buccal administration, methoxysalicylate for rectal administration, or citric acid for vaginal administration.

The concentration of a neuregulin or a fragment or derivative thereof, or nucleic acid encoding such polypeptides, administered to a particular subject will vary depending upon a number of issues, including the condition being treated, the mode and site of administration, the age, weight sex and general health of the subject, and other such factors that are recognized by those skilled in the art. Optimal administration rates for a given protocol of administration can be readily determined by those skilled in the art.

All documents mentioned herein are incorporated herein by reference in their entirety. The invention is further illustrated by the following non-limiting Examples. Example 1 -- In vivo neuroprotection assay

Neuregulins and neuregulin fragments and derivatives can be assessed for neuroprotective efficacy pursuant to the following assay.

Mature male Long-Evans rats (Charles River, 250-350g) are allowed food and water *ad libitum*. Animals are anesthetized with sodium pentobarbital (60 mg/kg, i.p.) and placed in a stereotaxic head holder (David Kopf Instruments, Tujunga, CA). The

dorsal surface of the skull is exposed by midline incision, and a small burr hole (2 mm diameter) is drilled over the right lateral ventricle, 1.6 mm lateral and 0.9 mm posterior to bregma. A stainless steel cannula (I.D. 0.020", O.D. 0.028", 2 cm long) is then inserted stereotaxically into the ventricle to a depth of 4.4 mm beneath the surface of the skull. The tubing is suitably bent at a 90° angle 1-1.6 cm from its tip and connected to polyethylene tubing (I.D. 0.76 mm, O.D. 1.22 mm, 10 cm long) that is connected (by glue) to a mini-osmotic pump (Alzet 1007D, 100 μl fill volume, pump rate = 0.5 μl/hr; Alza Corp., Palo Alto, CA) implanted subcutaneously in the back. The cannula can be suitably fixed to the skull by orthodontic resin (L.D. Culk Co., Milford, DE) bonded to two small machine screws (1/8" stainless steel slotted) inserted in the skull. The pump, tubing, and cannula are primed before insertion with infusate solutions; a 3-0 nylon suture is inserted into the cannula during implantation to prevent obstruction by brain tissue. The wound is closed with 3-0 silk suture and cefazolin (10 mg, i.m.) is administered. After surgery animals are suitably kept in individual cages and fed soft food.

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Pumps are filled with vehicle alone (containing 127 mM NaCl, 2.6 mM KCl, 1.2 mM CaCl<sub>2</sub>, 0.9 mM MgCl<sub>2</sub>, 4.14 mM HEPES, 3 mM glycerin, 0.001% bovine serum albumin [BSA], and 0.01% fast green), or vehicle neuregulin or fragment or derivative thereof (100 μgm/ml). Heparin can be suitably used at relatively low doses, e.g. about 0.8 units/kg/day which is approximately 250-500 times less than a standard anticoagulant dose.

Three days after cannula implantation, animals are reanesthetized with 2% halothane and given atropine (0.15 mg/kg, i.p.). Animals are then intubated and connected to a ventilator (SAR-830; CWE Inc., Ardmore, PA) delivering 1% halothane/70% nitrous oxide in oxygen. The right femoral artery and vein are cannulated for monitoring of mean arterial blood pressure (MABP; Gould RS3200 Blood Pressure Monitor, Gould Inc., Valley View, OH), and blood sampling. Animals are then paralyzed with pancuronium bromide (0.5 mg/kg, i.v.). Arterial blood gasses (Corning 178 Blood Gas Analyzer, Ciba Corning Diagnostic Corp., Medford, MA), blood glucose (Accu-Check Blood Glucose Analyzer, Boehringer Mannheim, Indianapolis, IN), and hematocrit are measured at least twice during surgery and the immediate post-operative period. The stroke volume and rate of the

ventilator are adjusted to maintain PaO<sub>2</sub> between 100-200 mm Hg and PaCO<sub>2</sub> between 30-40 mm Hg. Core body temperature may be monitored by rectal thermocouple (e.g. Model 73ATA, Yellow Springs Instrument Co., Yellow Springs, OH) and maintained between 36-37°C with a homeothermic blanket control unit (Harvard Bioscience, South Natick, MA).

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Focal ischemic infarcts are made in the right lateral cerebral cortex in the territory of the middle cerebral artery (MCA) by the method of Chen, et al., *Stroke*, 17:738-743 (1986). Both common carotid arteries are exposed by midline anterior cervical incision. The animal is placed in a lateral position and a 1 cm skin incision is then made at the midpoint between the right lateral canthus and the anterior pinna. The temporal muscle is retracted, and a small (3 mm diameter) craniectomy is made at the junction of the zygoma and squamosal bone using a dental drill cooled with saline. Using a dissecting microscope, the dura can be opened with fine forceps, and the right MCA can be ligated with two 10-0 monofilament nylon ties just above the rhinal fissure and transected between the ties. Both common carotid arteries then can be occluded by microaneurysm clips for 45 minutes. After removal of the clips, return of flow is visualized in the arteries. Anesthesia is maintained for 15 minutes, and animals are returned to individual cages and fed soft food after surgery.

Twenty four hours after cerebral infarction, animals are again weighed, and then sacrificed by rapid decapitation. Brains are removed, inspected visually for the anatomy of the middle cerebral artery as well as for signs of hemorrhage or infection, immersed in cold saline for 10 minutes, and sectioned into six standard coronal slices (each 2 mm thick) using a rodent brain matrix slicer (Systems, Warren, MI). Brains are also examined visually for the presence of dye (fast green) in the cerebral ventricles. Slices are placed in the vital dye 2,3,5-triphenyl tetrazolium chloride (TTC, 2%; Chemical Dynamics Co., NH) at 37°C in the dark for 30 minutes, followed by 10% formalin at room temperature overnight. The outline of right and left cerebral hemispheres as well as that of infarcted tissue, clearly visualizable by lack of TTC staining (Chen et al., *Stroke*, 17:738-743 (1986)), is outlined on the posterior surface of each slice using an image analyzer (MTI videocamera and Sony video monitor connected to a Bioquant IV Image Analysis System run on an EVEREX computer). Infarct volume is calculated as the sum of infarcted area per slice multiplied by slice

thickness. Both the surgeon and image analyzer operator are blinded to the treatment given each animal.

Volumes of infarcts among vehicle vs. neuregulin-treated animals can be compared by unpaired, two-tailed t-tests for each experiment, and by two-way analysis of variance (ANOVA; Exp. X Treatment) for combined data. A subsequent slice-by-slice analysis of infarct area among pooled neuregulin- vs. vehicle-treated animals is suitably done by repeated measures two-way ANOVA (Treatment X Slice). Other anatomical and physiological measurements are compared among GDF-1- vs. vehicle-treated animals by unpaired, two-tailed t-tests using the Bonferroni correction for multiple pairwise comparisons.

Example 2 -- In vivo behavioral assays

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For behavioral outcome studies, such as to assess recovery, repair and remodeling promoted by administration of a neuregulin or fragment or derivative thereof, or nucleic acid encoding same, a number of assays can be employed such as those described in G. Sinson et al., *J Neurochem*, 65(5):2209-2214 (1995); T.K. McIntosh et al., *Neuroscience*, 28:233-244 (1989); and T.K. McIntosh et al., *J Neurotrauma*, 10:373-384 (1993).

Briefly, one suitable behavioral assay as described in G. Sinson et al., *supra*, entails that test animals (male Sprague-Dawley rats) receive preinjury training in a Morris Water Maze, a circular tank 1 m in diameter that is filled with 18°C water. The water surface is made opaque with a covering of Styrofoam pieces. During training of the animals a submerged platform is present in the maze. Each test animal undergoes 20 training trials over a two day period during which they learn to locate the platform using external visual cues. Immediately following the last training trial, animals are anesthetized and subjected to a lateral (parasagittal) fluid-percussion (FP) brain injury. Briefly, a 5-mm craniectomy is performed over the left parietal cortex, midway between lamda and bregma. A hollow Leur-loc fitting is cemented to the craniectomy site. The injury is delivered after attaching the FP device. The injury should be of moderate severity (2.1-2.3 atm). After injury, the Leur-loc is removed, and the skin is sutured. Normothermia is maintained with warming pads until the animals being to ambulate.

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At 72 hours, 1 week or 2 weeks after injury, animals are assessed for their ability to remember the learned task of locating the platform in the MWM. For this evaluation the platform is removed from the maze, and the animal's swimming pattern is suitably recorded with a computerized video system for 1 minute. The maze is separated in zones that are weighed according to the proximity to the platform's location. A memory score is generated by multiplying the weighted numbers by the time the animal spends in each zone and then adding the products.

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Animals surviving for 1 or 2 weeks also can undergo evaluation of neurologic motor function. Briefly, one suitable assay provides that animals are scored from 0 (severely impaired) to 4 (normal) for each of the following: (1) left and (2) right forelimb during suspension by the tail; (3) left and (4) right hindlimb flexion when the forelimbs remain on a surface and the hindlimbs are lifted up and back by the tail; the ability to resist lateral pulsion to the (5) left and (6) right; and the ability to stand on an inclined plane in the (7) left, (8) right, and (9) vertical positions. Scores are combined for each of the tests (1) through (9). The observer for the tests should be blinded to the animal's previous treatment.

The invention has been described in detail with reference to preferred embodiments thereof. However, it will be appreciated that those skilled in the art, upon consideration of this disclosure, may make modifications and improvements within the spirit and scope of the invention.

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#### What is claimed is:

1. A method of treating a mammal suffering from or susceptible to stroke, brain or spinal cord injury or ischemia, or heart attack, comprising administering to the mammal a therapeutically effective amount of a neuregulin, or fragment or derivative of a neuregulin, or a nucleic acid encoding a neuregulin or a fragment or derivative of a neuregulin.

- 2. A method of treating a mammal suffering from or susceptible to optic nerve injury or retinal injury or ischemia, comprising administering to the mammal a therapeutically effective amount of a neuregulin, or fragment or derivative of a neuregulin, or a nucleic acid encoding a neuregulin or a fragment or derivative of a neuregulin.
- 3. A method of treating a mammal suffering from or susceptible to effects of post-surgical neurological deficits, hypoxia or hypoglycemia, comprising administering to the mammal a therapeutically effective amount of a neuregulin, or fragment or derivative of a neuregulin, or a nucleic acid encoding a neuregulin or a fragment or derivative of a neuregulin.
- 4. A method of treating a mammal suffering from or susceptible to epilepsy, Parkinson's disease, Huntington's disease, Amyotrophic Lateral Sclerosis, Alzheimer's disease, Down's Syndrome, Korsakoff's disease, or age-dependent dementia, comprising administering to the mammal a therapeutically effective amount of a neuregulin, or fragment or derivative of a neuregulin, or a nucleic acid encoding a neuregulin or a fragment or derivative of a neuregulin.
- 5. The method of claim 1 wherein the neuregulin, or fragment or derivative of a neuregulin, or a nucleic acid encoding a neuregulin or a fragment or derivative of a neuregulin is administered after the subject has suffered a stroke, brain or spinal cord injury or ischemia, or heart attack.
- 6. The method of claim 5 wherein the neuregulin, or fragment or derivative of a neuregulin, or a nucleic acid encoding a neuregulin or a fragment or derivative of a neuregulin is administered to the subject for at least about two weeks after the subject has suffered a stroke, brain or spinal cord injury or ischemia, or heart attack.

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7. A method of any one of claims 1-6 wherein a neuregulin or a fragment or derivative thereof is administered to the mammal.

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8. A method of claim 7 wherein the neuregulin or fragment or derivative thereof comprises an amino acid sequence of the following formula:

#### WYBAZCX

wherein WYBAZCX is composed of amino acid sequences that include one or more sequences shown in FIGS. 1 through 15 (which includes SEQ ID NOS:2, 4, 5, 8, 9, 12, 14, 15, 18, 19, 22, 23, 26, 27, 30, 33, 35, 38, 39, 41, 44, 45 and 48), wherein W comprises the polypeptide segment F, or is absent; wherein Y comprises the polypeptide segment E, or is absent; wherein Z comprises the polypeptide segment G or is absent; and wherein X comprise a polypeptide segment selected from the group consisting of C/D HKL, C/D H, C/D HL, C/D D, C/D' HL, C/D' HKL, C/D' H, C/D' D, C/D C/D' HKL, C/D C/D' H, C/D C/D' HL, C/D C/D' D, C/d D'H, C/D D' HL, C/D D' HKL, C/D D' HKL, C/D D' HKL, C/D C/D' D' HKL, And preferably that either

- a) at least one of F, Y, B, A, Z, C or X is of bovine origin; or
- b) Y comprises the polypeptide segment E; or
- c) X comprises the polypeptide segments C/D HKL, C/D D, C/D' HKL, C/D C/D' HKL, C/D C/D' D, C/D D' H, C/D D' HL, C/D D' HKL, C/D' D' H, C/D' D' HKL, C/D C/D' D' HKL, C/D C/D' D HL, C/D C/D' D' HKL, C/D C/D' H or C/D C/D' HL.
- 9. The method of claim 7 wherein the neuregulin or fragment or derivative thereof a) has at least one of F, Y, B, A, Z, C or X is of bovine origin; or b) Y comprises the polypeptide segment E; or c) X comprises the polypeptide segments C/D HKL, C/D D, C/D' HKL, C/D C/D' HKL, C/D C/D' D, C/D D H, C/D D' HL, C/D D' HKL, C/D C/D' D, C/D D' HKL, C/D C/D' D HL, C/D C/D' D HKL, C/D C/D' D' HKL, C/D C/D' HKL, C/
- 10. The method of claim 7 wherein the neuregulin or fragment or derivative thereof comprises FBA polypeptide segments, FEBA polypeptides segments, EBA polypeptide segments or FEBA' polypeptide segments.

- 11. A method of claim 7 wherein the neuregulin is encoded by a nucleic acid that comprises one of SEQ ID NOS:49, 51 and 53.
- 12. A method of claim 7 wherein the neuregulin or fragment or derivative thereof is encoded by a nucleic acid that comprises a sequence that has at least about 70% sequence identity to one of SEQ ID NOS:49, 51 and 53.
- 13. A method of claim 7 wherein the neuregulin or fragment or derivative thereof is encoded by a sequence that hybridizes to one of SEQ ID NOS:49, 51 or 53 under normal stringency conditions.
- 14. A method of claim 7 wherein the neuregulin or fragment or derivative thereof is encoded by a sequence that hybridizes to one of SEQ ID NOS:49, 51 or 53 under high stringency conditions.
- 15. A method of claim 7 wherein the neuregulin or fragment or derivative has at least about 70% sequence identity to SEQ ID NOS:50, 52 or 54.
- 16. A method of claim 7 wherein the neuregulin or fragment or derivative thereof is encoded by a nucleic acid that comprises a sequence that has at least about 70% sequence identity to one of SEQ ID NO:20 (Figure 7); SEQ ID NO:21 (Figure 7); SEQ ID NO:24 (Figure 8); SEQ ID NO:25 (Figure 8); SEQ ID NO:28 (Figure 9); or SEO ID NO:29 (Figure 9).
- 17. A method of claim 7 wherein the neuregulin or fragment or derivative thereof is encoded by a sequence that hybridizes to one of SEQ ID NO:20 (Figure 7); SEQ ID NO:21 (Figure 7); SEQ ID NO:24 (Figure 8); SEQ ID NO:25 (Figure 8); SEQ ID NO:28 (Figure 9); or SEQ ID NO:29 (Figure 9) under normal stringency conditions.
- 18. A method of claim 7 wherein the neuregulin or fragment or derivative comprises a sequence that has at least about 70% sequence identity to any of the peptide sequences shown in Figures 7, 8 or 9 of the drawings.
- 19. A method of claim 7 where the neuregulin or fragment or derivative comprises a sequence that has at least about 80 percent homology to any of the peptide sequences shown in Figures 7, 8 or 9.
- 20. A method of claim 7 where the neuregulin or fragment or derivative comprises a sequence that has at least about 90 percent homology to any of the peptide sequences shown in Figures 7, 8 or 9.

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- A method of claim 7 wherein the neuregulin or fragment or derivative 21. comprises a sequence that has at least about 95 percent homology to any of the peptide sequences shown in Figures 7, 8 or 9.
- A method of claim 7 wherein the neuregulin or fragment or derivative 22. comprises a sequence that is shown in Figures 7, 8 or 9.
- A method of any one of claims 1-6 wherein a nucleic acid encoding a 23. neuregulin or a fragment or derivative thereof is administered to the mammal.
- A method of claim 23 wherein the nucleic acid is SEQ ID NO:49, 51 or 53, or the complement thereof.
- A method of claim 23 wherein the nucleic or fragment or derivative thereof encodes a neuregulin or neuregulin fragment or derivative that comprises an amino acid sequence of the following formula:

#### WYBAZCX

wherein WYBAZCX is composed of amino acid sequences that include one or more sequences shown in FIGS. 1 through 15 (which includes SEQ ID NOS:2, 4, 5, 8, 9, 12, 14, 15, 18, 19, 22, 23, 26, 27, 30, 33, 35, 38, 39, 41, 44, 45 and 48), wherein W comprises the polypeptide segment F, or is absent, wherein Y comprises the polypeptide segment E, or is absent; wherein Z comprises the polypeptide segment G or is absent; and wherein X comprise a polypeptide segment selected from the group consisting of C/D HKL, C/D H, C/D HL, C/D D, C/D' HL, C/D' HKL, C/D' H, C/D' D. C/D C/D' HKL, C/D C/D' H, C/D C/D' HL, C/D C/D' D, C/D D' HL, C/D D' HKL, C/D' D' H, C/D' D' HL, C/D' D' HKL, C/D C/D' D' H, C/D C/D' D' HL and C/D C/D' D' HKL.

- 26. The method of claim 25 wherein the neuregulin or neuregulin fragment or derivative a) has at least one of F, Y, B, A, Z, C or X is of bovine origin; or b) Y comprises the polypeptide segment E; or c) X comprises the polypeptide segments C/D HKL, C/D D, C/D' HKL, C/D C/D' HKL, C/D C/D' D, C/D D H, C/D D' HL, C/D D' HKL, C/D C/D' D' H, C/D C/D' D HL, C/D C/D' D' HKL, C/D'H, C/D C/D' H or C/D C/D' HL.
- The method of claim 25 wherein the neuregulin or neuregulin fragment 27. or derivative comprises FBA polypeptide segments, FEBA polypeptides segments.

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EBA polypeptide segments, EBA' polypeptide segments or FEBA' polypeptide segments.

- A method of claim 23 wherein the nucleic acid comprises a sequence 28. that hybridizes to SEQ ID NO:20 (Figure 7); SEQ ID NO:21 (Figure 7); SEQ ID NO:24 (Figure 8); SEQ ID NO:25 (Figure 8); SEQ ID NO:28 (Figure 9); or SEQ ID NO:29 (Figure 9) under normal stringency conditions.
- A method of claim 23 wherein the nucleic acid comprises a sequence that hybridizes to SEQ ID NO:SEQ ID NO:20 (Figure 7); SEQ ID NO:21 (Figure 7); SEQ ID NO:24 (Figure 8); SEQ ID NO:25 (Figure 8); SEQ ID NO:28 (Figure 9); or SEO ID NO:29 (Figure 9) under high stringency conditions.
- A method of claim 23 wherein the nucleic acid comprises a sequence 30. that has at least about 70 percent homology to any of the nucleic acid sequences shown in Figures 7, 8 or 9.
- A method of claim 23 wherein the nucleic acid comprises a sequence 31. that has at least about 80 percent homology to any of the nucleic acid sequences shown in Figures 7, 8 or 9.
- A method of claim 23 wherein the nucleic acid comprises a sequence 32. that has at least about 90 percent homology to any of the nucleic acid sequences shown in Figures 7, 8 or 9.
- A method of claim 23 wherein the nucleic acid comprises a sequence 33. that has at least about 95 percent homology to any of the nucleic acid sequences shown in Figures 7, 8 or 9.
- A method of claim 23 wherein the nucleic acid comprises a sequence shown in Figures 7, 8 or 9.
- A method of any one of claims 1-34 wherein the administered 35. neuregulin fragment or derivative, or the administered nucleic acid encodes a neuregulin fragment or derivative exhibits at least about a 10% reduction in infarct volume in an in vivo cerebral ischemia assay.
  - A method of any one of claims 1-35 wherein the mammal is a human. 36.

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## FIG. 1A HUMAN SEGMENT E: (SEQ ID NOS:1-2)

														CCC Pro 15		48	3
GCC Ala	CAG Gln	CGC Arg	CCC Pro 20	GGC Gly	TCC Ser	GCC Ala	GCC Ala	CGC Arg 25	TCG Ser	TCG Ser	CCG Pro	CCG Pro	CTG Leu 30	CCG Pro	CTG Leu	90	5
														GGG Gly		144	4
														TAC Tyr		19	2
TCC Ser 65	CCG Pro	CCC Pro	AGC Ser	GTG Val	GGA Gly 70	TCG Ser	GTG Val	CAG Gln	GAG Glu	CTA Leu 75	GCT Ala	CAG Gln	CGC Arg	GCC Ala	GCG Ala 80	24	0
GTG Val	GTG Va1	ATC Ile	GAG Glu	GGA Gly 85	AAG Lys	GTG Val	CAC His	CCG Pro	CAG Gln 90	CGG Arg	CGG Arg	CAG Gln	CAG Gln	GGG Gly 95	GCA Ala	28	8
CTC Leu	GAC Asp	AGG Arg	AAG Lys 100	GCG Ala	GCG Ala	GCG Ala	Ala	GCG Ala 105	GGC Gly	GAG Glu	GCA Ala	Gly	GCG Ala 110	TGG Trp	GGC Gly	33	6
GGC Gly	GAT Asp	CGC Arg 115	Glu	CCG Pro	CCA Pro	GCC Ala	GCG Ala 120	GGC Gly	CCA Pro	CGG Arg	GCG Ala	CTG Leu 125	Gly	CCG Pro	CCC Pro	38	14
GCC Ala	GAG Glu 130	Glu	CCG Pro	CTG Leu	CTC Leu	GCC Ala 135	Ala	AAC Asn	GGG Gly	ACC Thr	GTG Val 140	Pro	TCT Ser	TGG Trp	CCC Pro	43	32
ACC Thr 145	Ala	CCG Pro	GTG Val	CCC Pro	AGC Ser 150	Ala	GGC Gly	GAG Glu	CCC Pro	GGG Gly 155	Glu	GAG Glu	GCG Ala	CCC Pro	TAT Tyr 160	48	30
CTG Leu	GTG Val	AAG Lys	GTG Val	CAC His 165	Gln	GTG Val	TGG Trp	GCG Ala	GTG Val 170	Lys	GCC Ala	GGG Gly	GGC Gly	TTG Leu 175	AAG Lys	52	28
				ı Leu					ıGly					Pro	GCC Ala	57	76

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## FIG. 1B

TTC Phe	CCC Pro	TCC Ser 195	TGC Cys	GGG Gly	AGG Arg	CTC Leu	AAG Lys 200	GAG Glu	GAC Asp	AGC Ser	AGG Arg	TAC Tyr 205	ATC Ile	TTC Phe	TTC Phe	624
ATG Met	GAG Glu 210	CCC Pro	GAC Asp	GCC Ala	AAC Asn	AGC Ser 215	ACC Thr	AGC Ser	CGC Arg	GCG Ala	CCG Pro 220	GCC Ala	GCC Ala	TTC Phe	CGA Arg	672
GCC Ala 225	TCT Ser	TTC Phe	CCC Pro	CCT Pro	CTG Leu 230	GAG Glu	ACG Thr	GGC Gly	CGG Arg	AAC Asn 235	CTC Leu	AAG Lys	AAG Lys	GAG Glu	GTC Val 240	720
					AAG Lys			G								745

## FIG.2 SEGMENT E: (SEQ ID NOS:3-4)

CC CAT CAA GTG TGG GCG GCG AAA GCC GGG GGC TTG AAG AAG GAC TCG His Gln Val Trp Ala Ala Lys Ala Gly Gly Leu Lys Lys Asp Ser 1 5 10 15	47
CTG CTC ACC GTG CGC CTG GGC GCC TGG GGC CAC CCC GCC TTC CCC TCC Leu Leu Thr Val Arg Leu Gly Ala Trp Gly His Pro Ala Phe Pro Ser 20 25 30	95
TGC GGG CGC CTC AAG GAG GAC AGC AGG TAC ATC TTC TTC ATG GAG CCC Cys Gly Arg Leu Lys Glu Asp Ser Arg Tyr Ile Phe Phe Met Glu Pro 35 40 45	143
GAG GCC AAC AGC AGC GGC GGG CCC GGC CGC C	191
CCC TCT CGA GAC GGG CCG GAA CCT CAA GAA GGA GGT CAG CCG GGT GCT Pro Ser Arg Asp Gly Pro Glu Pro Gln Glu Gly Gln Pro Gly Ala 65 70 75	·239
GTG CAA CGG TGC G Val Gln Arg Cys 80	252
FIG. 3 SEGMENT B: (SEQ ID NOS:5-8)	
Leu Pro Pro Arg Leu Lys Glu His Lys Ser Gln Glu Ser Val Ala Gly CCT TGC CTC CCC GCT TGA AAG AGA TGA AGA GTC AGG AGT CTG TGG CAG III III III III III III III III III I	48
Ser Lys Leu Val Leu Arg Cys Glu Thr Ser Ser Glu Tyr Ser Ser Leu GTT CCA AAC TAG TGC TTC GGT GCG AGA CCA GTT CTG AAT ACT CCT CTC III III III III III III III I	96
Lys Phe Lys Trp Phe Lys Asn Gly Ser Glu Leu Ser Arg Lys Asn Lys TCA AGT TCA AGT GGT TCA AGA ATG GGA GTG AAT TAA GCC GAA AGA ACA III IIIIIIIIIIIIIIIII	144
Pro Gly Asn Ile Lys Ile Gln Lys Arg Pro Gly AAC CAC AAA ACA TCA AGA TAC AGA AAA GGC CGG G	178

# FIG 4 SEGMENT A: (SEQ ID NOS:9-12)

Lys Ser Glu Leu Arg Ile Ser Lys Ala Ser Leu Ala Asp Ser Gly G AAG TCA GAA CTT CGC ATT AGC AAA GCG TCA CTG GCT GAT TCT GGA III III III III III III III III III II	46
Glu Tyr Met Cys Lys Val Ile Ser Lys Leu Gly Asn Asp Ser Ala Ser GAA TAT ATG TGC AAA GTG ATC AGC AAA CTA GGA AAT GAC AGT GCC TCT	94
Ala Asn Ile Thr Ile Val Glu Ser Asn Ala GCC AAC ATC ACC ATT GTG GAG TCA AAC G 	122
FIG.5 SEGMENT A': (SEQ ID NOS:13-14)	
TCTAAAACTA CAGAGACTGT ATTTTCATGA TCATCATAGT TCTGTGAAAT ATACTTAAAC	60
CGCTTTGGTC CTGATCTTGT AGG AAG TCA GAA CTT CGC ATT AGC AAA GCG Lys Ser Glu Leu Arg Ile Ser Lys Ala 1 5	110
TCA CTG GCT GAT TCT GGA GAA TAT ATG TGC AAA GTG ATC AGC AAA CTA Ser Leu Ala Asp Ser Gly Glu Tyr Met Cys Lys Val Ile Ser Lys Leu 10 15 20 25	158
GGA AAT GAC AGT GCC TCT GCC AAC ATC ACC ATT GTG GAG TCA AAC GGT Gly Asn Asp Ser Ala Ser Ala Asn Ile Thr Ile Val Glu Ser Asn Gly 30 35 40	206
AAG AGA TGC CTA CTG CGT GCT ATT TCT CAG TCT CTA AGA GGA GTG ATC Lys Arg Cys Leu Leu Arg Ala Ile Ser Gln Ser Leu Arg Gly Val Ile 45 50 55	254
AAG GTA TGT GGT CAC ACT TGAATCACGC AGGTGTGTGA AATCTCATTG Lys Val Cys Gly His Thr 60	302
TGAACAAATA AAAATCATGA AAGGAAAACT CTATGTTTGA AATATCTTAT GGGTCCTCCT	362
GTAAAGCTCT TCACTCCATA AGGTGAAATA GACCTGAAAT ATATATAGAT TATTT	417

# FIG. 6 SEGMENT G: (SEQ ID NOS:15-18)

AG	ATC.	ACC	ACT	GGC	ATG	CCA	GCC	TCA	ACT	Glu GAG    GAA	ACA	GCG	TAT	GIG	101	47
TCA	GAG	TCT	CCC	ATT	AGA	ATA	TCA	GTA	TCA	Thr ACA     ACA	GAA	GGA	ACA	AA I	AC I	95
TCT	Ser TCA     TCA	Ţ														102

# FIG. 7 SEGMENT C: (SEQ ID NOS:19-22)

```
Thr Ser Thr Ser Thr Ala Gly Thr Ser His Leu Val Lys Cys Ala
CC ACA TCC ACA TCT ACA GCT GGG ACA AGC CAT CTT GTC AAG TGT GCA

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CT ACA TCT ACA TCC ACC ACT GGG ACA AGC CAT CTT GTA AAA TGT GCG
T

Glu Lys Glu Lys Thr Phe Cys Val Asn Gly Gly Glu Cys Phe Met Val
GAG AAG GAG AAA ACT TTC TGT GTG AAT GGA GGC GAG TGC TTC ATG GTG

GAG AAG GAG AAA ACT TTC TGT GTG AAT GGA GGG GAG TGC TTC ATG GTG

Lys Asp Leu Ser Asn Pro Ser Arg Tyr Leu Cys
AAA GAC CTT TCA AAT CCC TCA AGA TAC TTG TGC

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AAA GAC CTT TCA AAC CCC TCG AGA TAC TTG TGC
```

# FIG. 8 SEGMENT C/D: (SEQ ID NOS:23-26)

AAG	TGC	CAA	CCT	GGA	TTC	ACT	GGA	GCG	AGA	TGT	ACT	GAG	AAT	Val GTG []] GTG	Pro CCC III CCC	48
ATG	AĂA	Val GTC []] GTC	CAA	ACC	CAA	GAA										69

# FIG. 9 SEGMENT C/D': (SEQ ID NOS:27-29)

Lys Cys Pro Asn Glu Phe Thr Gly Asp Arg Cys Gln Asn Tyr Val Met AAG TGC CCA AAT GAG TTT ACT GGT GAT CGC TGC CAA AAC TAC GTA ATG III III III III III III III AAG TGC CCA AAT GAG TTT ACT GGT GAT CGC TGC CAA AAC TAC GTA ATG	48
Ala Ser Phe Tyr GCC AGC TTC TAC                 GCC AGC TTC TAC	60

# FIG. 10 SEGMENT D: (SEQ ID NOS:30-32)

```
Ser Thr Ser Thr Pro Phe Leu Ser Leu Pro Glu *
AGT ACG TCC ACT CCC TTT CTG TCT CTG CCT GAA TAG
AGT ACG TCC ACT CCC TTT CTG TCT CTG CCT GAA TAG

AGT ACG TCC ACT CCC TTT CTG TCT CTG CCT GAA TAG

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```

## FIG. 11 SEGMENT D': (SEQ ID NOS:33-34)

Lys His Leu Gly Ile Glu Phe Met Glu AAG CAT CTT GGG ATT GAA TTT ATG GAG

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## FIG. 12A SEGMENT H: (SEQ ID NOS:35-38)

AAA	GCG	GAG	Glu GAG     GAG	CTC	TAC	CAG	AAG	AGA	GTG	CTC	ACC	ATT	ACC	GGC	All	48
Cys TGC	Ile ATC	Ala GCG	Leu CTG    CTC	Leu CTC	Val GTG	Val GTT	Gly GGC	Ile ATC	Met ATG	Cys TGT	Val GTG	Val GTG	Val GTC	Tyr TAC	Cys TGC	96
AAA	ACC	AAG	Lys AAA III AAA	CAA	CGG	AAA	AAG	CTT	CAT	GAC	CGG	CH	CGG	CAG	AGC	144
Leu CTT []] CTT	Arg CGG     CGG	TCT	Glu GAA III GAA	AGA	AAC	ACC	ATG	ATG	AAC	GTA	GCC	AAC	GGG	CCC	CAC	192
CAC	CCC	AAT	Pro CCG II CCA	CCC	CCC	GAG	AAC	GTG	CAG	CTG	GTG	AAT	CAA	Tyr TAC     TAC	Val GTA     GTA	240
TCT	' Aaa	raa i	Val GTC     GTC	: AT(	: TCT	AGC	GAG	CAT	TTA :	GII	GAG	Arg AGA     AGA	GAG	Ala GCG    GCA	Glu GAG     GAG	288
AGC	: TCT	$\Box$	Ser TCC L	C ACC	CAGT	CAC	CTAC	: ACT	TCG	i aca	i GCT	CAT	CAT	TCC	Thr ACT III ACT	336

# FIG. 12B

Thr Val Thr Gln Thr Pro Ser His Ser Trp Ser Asn Gly His Thr Glu ACT GTC ACT CAG ACT CCC AGT CAC AGC TGG AGC AAT GGA CAC ACT GAA III III III III III III III III III	384
Ser Ile Ile Ser Glu Ser His Ser Val Ile Val Met Ser Ser Val Glu AGC ATC ATT TCG GAA AGC CAC TCT GTC ATC GTG ATG TCA TCC GTA GAA III III III III III III III III II	432
Asn Ser Arg His Ser Ser Pro Thr Gly Gly Pro Arg Gly Arg Leu Asn AAC AGT AGG CAC AGC CCG ACT GGG GGC CCG AGA GGA CGT CTC AAT III III III III III III III III III	480
Gly Leu Gly Gly Pro Arg Glu Cys Asn Ser Phe Leu Arg His Ala Arg GGC TTG GGA GGC CCT CGT GAA TGT AAC AGC TTC CTC AGG CAT GCC AGA III III III III III III III III III	528
Glu Thr Pro Asp Ser Tyr Arg Asp Ser Pro His Ser Glu Arg GAA ACC CCT GAC TCC TAC CGA GAC TCT CCT CAT AGT GAA AG III	569
FIG. 13 SEGMENT K: (SEQ ID NOS:39-40)	

A CAT AAC CTT ATA GCT GAG CTA AGG AGA AAC AAG GCC CAC AGA TCC His Asn Leu Ile Ala Glu Leu Arg Arg Asn Lys Ala His Arg Ser 1 5 10 15	46
AAA TGC ATG CAG ATC CAG CTT TCC GCA ACT CAT CTT AGA GCT TCT TCC Lys Cys Met Gln Ile Gln Leu Ser Ala Thr His Leu Arg Ala Ser Ser 20 25 30	94
ATT CCC CAT TGG GCT TCA TTC TCT AAG ACC CCT TGG CCT TTA GGA AG Ile Pro His Trp Ala Ser Phe Ser Lys Thr Pro Trp Pro Leu Gly Arg 35 40 45	141

# FIG. 14A SEGMENT L: (SEQ ID NOS:41-44)

G TA	T GT	A TC	A GC	A AT(	G AC	C AC	r Pro C CCO I II C CCO	G = GC	T CG	LAH	Ģ (C/	r Pro	1 617	1 As A GA I II A GA	р Т   Т	46
TTC	CAC	ACG	Pro CCA     CCA	agc	TCC	CCC .	Lys : AAG    AAA	TCA	CCC	CCT	TCG (	GAA	AIG	Ser TCC       	Pro CCG    CCA	94
Pro CCC     CCC	GTG	TCC	AGC	ACG I I	ACG	GTC 11	Ser TCC     TCC	ATG 	CCC	TCC	ATG 	GCG 	GIC	Ser AGT    AGC	CCC	142
TTC	GTG	GAA	GAG	GAG	AGA	CCC	Leu CTG [] CTA	CTC ·	CTT	GIG	ACG	CCA	LLA	CGG		190
Arg CGG     CGG	GAG	AAG		TAT	GAC	CAC	His CAC []] CAC	GCC	CAG	CAA	110	AAC	TCG	HC	CAC	238
Cys TGC   CAC N	AAC	CCC	GCG	CAT	GAG	AGC	Asn AAC III AAC	AGC	CTG	CCC	CCC	AGC	CCC	Leu TTG HII HG	Arg AGG     AGG	286
Ile ATA     ATA	GTG	GAG	Asp GAT []] GAT	GAG	GAA	TAT	Glu GAA []] GAA	ACG	ACC	CAG	GAG	TAC	GAA	CCA	GC1	334
Glr CAA []] CAA	GAG	Pro CCG 11 CCT	i GTT	AAG	AAA	CTC	Thr ACC GCC A	AAC	AGC	AGC	Arg CGG []] CGG	CGG	GCC	AAA	Arg AGA     AGA	382

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### FIG. 14B

Thr ACC     ACC	AAG	CCC	AAT	GGT	CAC	ATT	GCC	CAC	AGG	HG	GAA	AIG	GAC	Asn AAC     AGC S	Asn AAC     AAC	2	430
$\Delta C \Delta$	GGC	GCT	GAC	AGC:	AG I	Asn AAC III AAC	ILA	υAυ.	Auc	GAA	AUA	GAG	GAI	GAA	AUA		478
GTA	GGA	GAA	GAT	Thr ACG     ACG	CCT	Phe TTC 111 TTC	CIG	GCC	Ile ATA     ATA	CAG	AAC	Pro CCC     CCC	111	Ala GCA III GCA	Ala GCC     GCC		526
Ser AGT     AGT	Leu CTC    CTT	GAG	GCG	GCC	CCT	Ala GCC []] GCC	TTC	CGC	CTG	GIC	GAC	AGC	AGG	AC I	AAC		574
Pro CCA []] CCA	ACA	GGC 	Gly GGC II CGC R	: 110 111	101	CCG	CAG	GAA	GAA	, IIG	CAG	ull	AGG		Ser TCC    TCT		622
Gly GGT    AGT S	GTA	ATC	C GCT	r aac	; CAA	ASP GAC []] GAC	CCT	AT(	: GCT	GTC	, IAA	- 111			ACA AAA		670
CCC     CAC	ATA	A GAT	Г ТС <i>Л</i> 1 11 1 ТС <i>Л</i>	A CC1 A CC1			1 1 1				- 11		A AGT A AG	r at     -	CCA		718
CCT []] CC			111	A CAZ I II A CAZ													733

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# FIG. 15 SEGMENT F: (SEQ ID NOS:45-48)

CAGGA GCGGAGCGGC 60	AGTTTCCCCC CCCAACTTGT CGGAACTCTG GGCTCGCGCG CA
TCTCC CTCCTCGGGC 120	GGCGGCTGCC CAGGCGATGC GAGCGCGGGC CGGACGGTAA TO
GGAAC CGAGGACTCC 180	TGCGAGCGCG CCGGACCGAG GCAGCGACAG GAGCGGACCG C
GACGG AGCGCCCGCC 240	CCAGCGGCGC GCCAGCAGGA GCCACCCCGC GAGNCGTGCG A
GCCGGC GACAGGAGAC 300	AGTCCCAGGT GGCCCGGACC GCACGTTGCG TCCCCGCGCT C
CCTCCA CTCCGGGGAC 360	GCTCCCCCC ACGCCGCGC CGCCTCGGCC CGGTCGCTGG C
CGCGCG TCGCCTTCGC 420 c TCGCCTGCGC	AAACTTTTCC CGAAGCCGAT CCCAGCCCTC GGACCCAAAC T
er Glu Arg Arg CG GAG CGC AGA 474             CC GAG CGC AAA K	CGGGAGCCGT CCGCGCAGAG CGTGCACTTC TCGGGCGAG AT
Arg Gly Ser Gly CGA GGC TCC GGG 522                     CGA GGC TCC GGC	Glu Gly Lys Gly Lys Gly Lys Gly Gly Lys Lys Agaa ggc AAA ggc AAG ggg AAG ggc Ggc AAG AAG GGAA ggc AAA ggc AAA ggg AAG ggc AAA AAG AAG AAG AAG AAG AAG AAG AAG AA
Ala G 559   G	Lys Lys Pro Val Pro Ala Ala Gly Gly Pro Ser I AAG AAG CCC GTG CCC GCG GCT GGC GGC CCG AGC C III III II II III III III III III II

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## FIG. 16A (SEQ ID NOS:49-50)

G AAG Lys 1	S TC	A GA r Gl	A CT u Le	T CGO u Aro 5	C AT	T AGO e Se	C AA r Ly	A GC s Al	a Se	A CT r Le O	G GC u Al	T GA a As	T TC p Se	r Gl	A GAA y Glu 5		49
TAT / Tyr 1	ATG Met	TGC Cys	AAA Lys 20	GTG / Val	ATC Ile	AGC . Ser	AAA Lys	CTA Leu 25	GGA Gly	AAT Asn	GAC Asp	AGT Ser	GCC Ala 30	TCT Ser	GCC Ala		97
AAC / Asn	ATC Ile	ACC Thr 35	ATT Ile	GTG Val	GAG G1u	Ser	AAC Asn 40	GCC Ala	ACA Thr	TCC Ser	ACA Thr	TCT Ser 45	ACA Thr	GCT Ala	GGG Gly	1	45
Thr	AGC Ser 50	CAT His	CTT Leu	GTC Val	AAG Lys	TGT Cys 55	GCA Ala	GAG Glu	AAG Lys	GAG G1u	AAA Lys 60	ACT Thr	TTC Phe	TGT Cys	GTG Val		.93
AAT Asn 65	GGA Gly	GGC Gly	GAC Asp	TGC Cys	TTC Phe 70	ATG Met	GTG Val	AAA Lys	GAC Asp	CTT Leu 75	TCA Ser	AAT Asn	CCC Pro	TCA Ser	AGA Arg 80	2	241
TAC Tyr	TTG Leu	TGC Cys	AAG Lys	TGC Cys 85	CAA Gln	CCT Pro	GGA Gly	TTC Phe	ACT Thr 90	GGA Gly	GCG Ala	AGA Arg	TGT Cys	ACT Thr 95	GAG Glu	2	289
AAT Asn	GTG Val	CCC Pro	ATG Met 100	AAA Lys	GTC Val	CAA Gln	Thr	CAA Gln 105	GAA Glu	AAA Lys	GCG Ala	Glu	GAG Glu 110	CTC Leu	TAC Tyr	;	337
CAG Gln	AAG Lys	AGA Arg 115	Val	CTC Leu	ACC Thr	ATT Ile	ACC Thr 120	GGC Gly	ATT I le	TGC Cys	ATC Ile	GCG Ala 125	CTG Leu	CTC Leu	GTG Val		385
GTT Val	GGC Gly 130	Ile	ATG Met	TGT Cys	GTG Val	. GTG Val 135	Val	TAC Tyr	TGC Cys	AAA Lys	ACC Thr 140	Lys	AAA Lys	CAA Gln	CGG Arg		433
AAA Lys 145	Lys	CTT Leu	CAT His	GAC Asp	CGG Arg 150	Leu	CGG Arg	CAG Glr	AGC Ser	CTT Leu 155	ı Arg	TCT Ser	GAA Glu	AGA Arg	AAC Asn 160		481
ACC Thr	ATG Met	ATG Met	AAC Asr	GTA Val 165	Ala	: AAC Asn	GGG Gly	CCC Pro	CAC His	His	CCC Pro	AAT Asr	CCG Pro	CCC Pro 175	CCC Pro		529
GAG Glu	AAC Asr	GTG Val	G CAG Glr 180	1 Leu	GTG Val	AAT Asn	CAA Glr	TAC Tyr 185	· Val	TCT Ser	AA/ Lys	A AAT s Asr	GTC Val 190	Πle	TCT Ser		577

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# FIG. 16B

AGC Ser	Glu	CAT His 195	ATT Ile	GTT Val	GAG Glu	Arg	GAG G1u 200	GCG Ala	GAG G1u	AGC Ser	TCT Ser	TTT Phe 205	TCC Ser	ACC Thr	AGT Ser	625
His	TAC Tyr 210	ACT Thr	TCG Ser	ACA Thr	GCT Ala	CAT His 215	CAT His	TCC Ser	ACT Thr	ACT Thr	GTC Val 220	ACT Thr	CAG Gln	ACT Thr	CCC Pro	673
AGT Ser 225	CAC His	AGC Ser	TGG Trp	AGC Ser	AAT Asn 230	GGA Gly	CAC His	ACT Thr	GAA Glu	AGC Ser 235	ATC Ile	ATT Ile	TCG Ser	GAA G1u	AGC Ser 240	721
CAC His	TCT Ser	GTC Val	ATC Ile	GTG Val 245	ATG Met	TCA Ser	TCC Ser	GTA Val	GAA G1u 250	AAC Asn	AGT Ser	AGG Arg	CAC His	AGC Ser 255	AGC Ser	769
CCG Pro	ACT Thr	GGG Gly	GGC Gly 260	CCG Pro	AGA Arg	GGA Gly	CGT Arg	CTC Leu 265	Asn	GGC Gly	TTG Leu	GGA Gly	GGC Gly 270	CCT Pro	CGT Arg	817
GAA Glu	TGT Cys	AAC Asn 275	Ser	TTC Phe	CTC Leu	AGG Arg	CAT His 280	GCC Ala	AGA Arg	GAA Glu	ACC Thr	CCT Pro 285	Asp	TCC Ser	TAC Tyr	865
CGA Arg	GAC Asp 290	Ser	CCT	CAT His	AGT Ser	GAA Glu 295	Arg	CAT	AAC Asn	CTT Leu	ATA Ile 300	. Ala	GAG Glu	CTA Leu	AGG Arg	913
AGA Arg 305	Asn	AAG Lys	GCC Ala	CAC His	AGA Arg 310	Ser	AAA Lys	TGC	: ATG : Met	CAG Gln 315	∏e	CAG Glr	CTT Leu	TCC Ser	GCA Ala 320	961
ACT Thr	CAT	CTT Leu	AGA Arg	GCT Ala 325	Ser	TCC Ser	: ATT	CCC Pro	CAT His 330	Trp	G GCT	TCA Ser	TTC Phe	TCT Ser 335	AAG Lys	1009
ACC Thr	CCT Pro	TGG Trp	G CCT Pro 340	) Lei	A GGA u Gly	AGG Arg	TAT Tyr	GT/ Va 349	l Ser	A GCA	A AT( a Met	ACC Thi	ACC Thr 350	Pro	G GCT Ala	1057
CG7 Arg	AT( Met	35!	r Pro	r GTA	A GAT I Asp	TT( Phe	CAC His 360	Th	G CCA	A AGO Sei	C TC	C CC( r Pro 36!	) Lys	TC/ S Sei	A CCC r Pro	1105
CCT Pro	TCO Ser 37	r Gli	A ATO	TC(	C CCC	G CCC Pro 37	o Vai	S TC	C AG( r Se	C ACC	G AC r Th 38	r Va	C TC( 1 Sei	AT(	G CCC t Pro	1153

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# FIG. 16C

TCC Ser 385	ATG Met	GCG Ala	GTC Val	AGT Ser	CCC Pro 390	TTC Phe	GTG Val	GAA G1u	GAG Glu	GAG Glu 395	AGA Arg	CCC Pro	CTG Leu	CTC Leu	CTT Leu 400	1201
GTG Val	ACG Thr	CCA Pro	CCA Pro	CGG Arg 405	CTG Leu	CGG Arg	GAG G1u	AAG Lys	TAT Tyr 410	GAC Asp	CAC His	CAC His	GCC Ala	CAG Gln 415	CAA G1n	1249
TTC Phe	AAC Asn	TCG Ser	TTC Phe 420	CAC His	TGC Cys	AAC Asn	CCC Pro	GCG Ala 425	CAT His	GAG G1u	AGC Ser	AAC Asn	AGC Ser 430	CTG Leu	CCC Pro	1297
CCC Pro	AGC Ser	CCC Pro 435	TTG Leu	AGG Arg	ATA Ile	GTG Val	GAG Glu 440	GAT Asp	GAG Glu	GAA Glu	TAT Tyr	GAA Glu 445	ACG Thr	ACC Thr	CAG Gln	1345
GAG Glu	TAC Tyr 450	GAA Glu	CCA Pro	GCT Ala	CAA Gln	GAG G1u 455	CCG Pro	GTT Val	AAG Lys	AAA Lys	CTC Leu 460	Thr	AAC Asn	AGC Ser	AGC Ser	1393
CGG Arg 465	Arg	GCC Ala	AAA Lys	AGA Arg	ACC Thr 470	AAG Lys	CCC Pro	AAT Asn	GGT Gly	CAC His 475	Ile	GCC Ala	CAC His	AGG Arg	TTG Leu 480	1441
GAA Glu	ATG Met	GAC Asp	AAC Asn	AAC Asn 485	Thr	GGC Gly	GCT Ala	GAC Asp	AGC Ser 490	Ser	AAC Asn	TCA Ser	GAG Glu	AGC Ser 495	GAA Glu	1489
ACA Thr	GAG Glu	GAT Asp	GAA G1u 500	Arg	GTA Val	GGA Gly	GAA Glu	GAT Asp 505	Thr	CCT Pro	TTC Phe	CTO Leu	GCC Ala 510	He	CAG Gln	1537
AA( Asr	CCC Pro	CTG Leu 515	ı Ala	GCC Ala	AGT Ser	CTC Leu	GAG G1u 520	Ala	GCC Ala	CCT Pro	GCC Ala	7T0 Phe 525	Arg	CTG Leu	GTC Val	1585
GA( Asp	AGC Ser 530	· Arc	ACT Thr	AAC Asr	CCA Pro	ACA Thr 535	. G12	GG( Gly	TTO Phe	C TCT e Ser	CC( Pro 54(	o Gli	G GAA	GAA Glu	TTG Leu	1633
CA( G1: 54!	n Ala	AGG Arg	CTO Lei	C TCC u Ser	GGT Gly 550	√ Val	ATO Ile	GC <sup>T</sup>	ΓAΑ( a Asr	C CAA n Glr 555	<u>ı</u> Ası	C CC	T ATO	GCT Ala	GTC Val 560	1681
TA	AAAC(	CGAA	ATA	CACCO	CAT A	AGAT	ГСАС	CT G	TAAA	ACTT	ГАТ	TTTA	TATA	ATA	\AGTAT	T 1741
CC	ACCT	ΓΑΑΑ	TTA	ACA/	4AA A	\AA										1764

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## FIG. 17A (SEQ ID NOS:51-52)

CAT lis l	CAA Gln	GTG Val	TGG Trp	GCG Ala 5	GCG Ala	AAA Lys	GCC Ala	GGG Gly	GGC Gly 10	TTG Leu	AAG Lys	AAG Lys	GAC Asp	TCG Ser 15	CTG Leu	48
CTC Leu	ACC Thr	GTG Va 1	CGC Arg 20	CTG Leu	GGC G1y	GCC Ala	TGG Trp	GGC Gly 25.	CAC His	CCC Pro	GCC Ala	TTC Phe	CCC Pro 30	TCC Ser	TGC Cys	96
GGG Gly	CGC Arg	CTC Leu 35	AAG Lys	GAG G1u	GAC Asp	AGC Ser	AGG Arg 40	TAC Tyr	ATC Ile	TTC Phe	TTC Phe	ATG Met 45	GAG Glu	CCC Pro	GAG Glu	144
GCC Ala	AAC Asn 50	AGC Ser	AGC Ser	GGC Gly	GGG Gly	CCC Pro 55	GGC Gly	CGC Arg	CTT Leu	CCG Pro	AGC Ser 60	CTC Leu	CTT Leu	CCC Pro	CCC Pro	192
TCT Ser 65	CGA Arg	GAC Asp	GGG Gly	CCG Pro	GAA Glu 70	CCT Pro	CAA Gln	GAA Glu	GGA Gly	GGT Gly 75	CAG Gln	CCG Pro	GGT Gly	GCT Ala	GTG Val 80	240
CAA Gln	CGG Arg	TGC Cys	GCC Ala	TTG Leu 85	CCT Pro	CCC Pro	CGC Arg	TTG Leu	AAA Lys 90	GAG Glu	ATG Met	AAG Lys	AGT Ser	CAG Gln 95	GAG Glu	288
TCT Ser	GTG Val	GCA Ala	GGT Gly 100	Ser	AAA Lys	CTA Leu	GTG Val	CTT Leu 105	Arg	TGC Cys	GAG Glu	ACC Thr	AGT Ser 110	Ser	GAA Glu	336
TAC Tyr	TCC Ser	TCT Ser 115	Leu	AAG Lys	TTC Phe	AAG Lys	TGG Trp 120	Phe	AAG Lys	AAT Asn	GGG Gly	AGT Ser 125	Glu	TTA Leu	AGC Ser	384
CGA Arg	AAG Lys 130	Asn	AAA Lys	CCA Pro	GAA Glu	AAC Asn 135	He	AAC Lys	ATA Ile	CAG Gln	Lys 140	Arg	CCG Pro	GGG Gly	AAG Lys	432
TCA Ser 145	Glu	CTT Leu	CGC Arg	ATT Ile	AGC Ser 150	· Lys	GCG Ala	TCA Ser	CTG Leu	GCT Ala 155	Asp	TCT Ser	GGA Gly	GAA Glu	TAT Tyr 160	480
ATG Met	TGC	AAA Lys	GTG Val	ATC   Ile   165	e Ser	AAA Lys	CTA Leu	GG/ Gly	A AAT / Asr 170	ı Asp	AG1 Ser	GCC Ala	TCT Ser	GCC Ala 175	AAC Asn	528

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## FIG. 17B

ATC ACC ATT GTG GAG TCA AAC GCC ACA TCC ACA TCT ACA GCT GGG ACA lle Thr Ile Val Glu Ser Asn Ala Thr Ser Thr Ser Thr Ala Gly Thr 180 185 190	576
AGC CAT CTT GTC AAG TGT GCA GAG AAG GAG AAA ACT TTC TGT GTG AAT Ser His Leu Val Lys Cys Ala Glu Lys Glu Lys Thr Phe Cys Val Asn 195 200 205	624
GGA GGC GAG TGC TTC ATG GTG AAA GAC CTT TCA AAT CCC TCA AGA TAC Gly Gly Glu Cys Phe Met Val Lys Asp Leu Ser Asn Pro Ser Arg Tyr 210 215 220	672
TTG TGC AAG TGC CAA CCT GGA TTC ACT GGA GCG AGA TGT ACT GAG AAT Leu Cys Lys Cys Gln Pro Gly Phe Thr Gly Ala Arg Cys Thr Glu Asn 225 230 235	720
GTG CCC ATG AAA GTC CAA ACC CAA GAA AAG TGC CCA AAT GAG TTT ACT Val Pro Met Lys Val Gln Thr Gln Glu Lys Cys Pro Asn Glu Phe Thr 245 250 255	768
GGT GAT CGC TGC CAA AAC TAC GTA ATG GCC AGC TTC TAC AGT ACG TCC Gly Asp Arg Cys Gln Asn Tyr Val Met Ala Ser Phe Tyr Ser Thr Ser 260 265 270	816
ACT CCC TTT CTG TCT CTG CCT GAA TAGCGCATCT CAGTCGGTGC CGCTTTCTTG Thr Pro Phe Leu Ser Leu Pro Glu 275 280	870
TTGCCGCATC TCCCCTCAGA TTCCNCCTAG AGCTAGATGC GTTTTACCAG GTCTAACATT	930
GACTGCCTCT GCCTGTCGCA TGAGAACATT AACACAAGCG ATTGTATGAC TTCCTCTGTC	990
CGTGACTAGT GGGCTCTGAG CTACTCGTAG GTGCGTAAGG CTCCAGTGTT TCTGAAATTG	1050
ATCTTGAATT ACTGTGATAC GACATGATAG TCCCTCTCAC CCAGTGCAAT GACAATAAAG	1110
GCCTTGAAAA GTCAAAAAAA AAAAAAAAA	1140

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## FIG. 18A (SEQ ID NOS:53-54)

AGTTTCCCCC CCCAACTTGT CGGAACTCTG GGCTCGCGCG CAGGGCAGGA GCGGAGCGGC	60
GGCGGCTGCC CAGGCGATGC GAGCGCGGGC CGGACGGTAA TCGCCTCTCC CTCCTCGGGC	120
TGCGAGCGCG CCGGACCGAG GCAGCGACAG GAGCGGACCG CGGCGGGAAC CGAGGACTCC	180
CCAGCGGCGC GCCAGCAGGA GCCACCCCGC GAGCGTGCGA CCGGGACGGA GCGCCCGCCA	240
GTCCCAGGTG GCCCGGACCG CACGTTGCGT CCCCGCGCTC CCCGCCGGCG ACAGGAGACG	300
CTCCCCCCA CGCCGCGCG GCCTCGGCCC GGTCGCTGGC CCGCCTCCAC TCCGGGGACA	360
AACTTTTCCC GAAGCCGATC CCAGCCCTCG GACCCAAACT TGTCGCGCGT CGCCTTCGCC	420
GGGAGCCGTC CGCGCAGAGC GTGCACTTCT CGGGCGAG ATG TCG GAG CGC AGA Met Ser Glu Arg Arg 1 5	473
GAA GGC AAA GGC AAG GGG AAG GGC GGC AAG AAG	521
AAG AAG CCC GTG CCC GCG GCT GGC GGC CCG AGC CCA GCC TTG CCT CCC Lys Lys Pro Val Pro Ala Ala Gly Gly Pro Ser Pro Ala Leu Pro Pro 25 30 35	569
CGC TTG AAA GAG ATG AAG ATG CAG GAG TCT GTG GCA GGT TCC AAA CTA Arg Leu Lys Glu Met Lys Ser Gln Glu Ser Val Ala Gly Ser Lys Leu 40 45 50	617
GTG CTT CGG TGC GAG ACC AGT TCT GAA TAC TCC TCT CTC AAG TTC AAG Val Leu Arg Cys Glu Thr Ser Ser Glu Tyr Ser Ser Leu Lys Phe Lys 55 60 65	665
TGG TTC AAG AAT GGG AGT GAA TTA AGC CGA AAG AAC AAA CCA CAA AAC Trp Phe Lys Asn Gly Ser Glu Leu Ser Arg Lys Asn Lys Pro Gln Asn 70 75 80 85	713
ATC AAG ATA CAG AAA AGG CCG GGG AAG TCA GAA CTT CGC ATT AGC AAA Ile Lys Ile Gln Lys Arg Pro Gly Lys Ser Glu Leu Arg Ile Ser Lys 90 95 100	761
GCG TCA CTG GCT GAT TCT GGA GAA TAT ATG TGC AAA GTG ATC AGC AAA Ala Ser Leu Ala Asp Ser Gly Glu Tyr Met Cys Lys Val Ile Ser Lys	809

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# FIG. 18B

CTA Leu	GGA Gly	AAT Asn 120	GAC Asp	AGT Ser	GCC Ala	TCT Ser	GCC Ala 125	AAC Asn	ATC Ile	ACC Thr	ATT Ile	GTG Val 130	GAG Glu	TCA Ser	AAC Asn	857
GAG Glu	ATC Ile 135	ACC Thr	ACT Thr	GGC Gly	ATG Met	CCA Pro 140	GCC Ala	TCA Ser	ACT Thr	GAG Glu	ACA Thr 145	GCG Ala	TAT Tyr	GTG Val	TCT Ser	905
TCA Ser 150	GAG Glu	TCT Ser	CCC Pro	ATT Ile	AGA Arg 155	ATA Ile	TCA Ser	GTA Val	TCA Ser	ACA Thr 160	GAA Glu	GGA Gly	ACA Thr	AAT Asn	ACT Thr 165	953
TCT Ser	TCA Ser	TCC Ser	ACA Thr	TCC Ser 170	ACA Thr	TCT Ser	ACA Thr	GCT Ala	GGG Gly 175	ACA Thr	AGC Ser	CAT His	CTT Leu	GTC Val 180	AAG Lys	1001
TGT Cys	GCA Ala	GAG Glu	AAG Lys 185	Glu	AAA Lys	ACT Thr	TTC Phe	TGT Cys 190	Val	AAT Asn	GGA Gly	GGC Gly	GAG Glu 195	Cys	TTC Phe	1049
ATG Met	GTG Val	AAA Lys 200	Asp	CTT Leu	TCA Ser	AAT Asn	CCC Pro 205	Ser	AGA Arg	TAC Tyr	TTG Leu	TGC Cys 210	Lys	TGC Cys	CCA Pro	1097
AAT Asn	GAG Glu 215	Phe	ACT Thr	GGT Gly	GAT Asp	CGC Arg 220	Cys	CAA Glr	AAC Asn	TAC Tyr	GTA Val 225	Met	GCC Ala	AGC Ser	TTC Phe	1145
TAC Tyr 230	Ser	ACG Thr	TCC Ser	: ACT Thr	CCC Pro 235	Phe	CTG Leu	TCT Ser	CTG	CCT Pro 240	Glu	A TAC	GCGC	ATG		1191
СТС	AGTO	GGT	GCCG	CTT	гст т	GTT	CCG	A TO	CTCCC	CTCA	GA <sup>*</sup>	TCA	ACCT	AGAG	CTAGAT	1251
GCG	т	TACC	AGGT	CTA	ACA T	TGAC	CTGC	CT C	rgcct	rgtc6	G CA	TGAG	AACA	TTA	ACACAAG	1311
CGA	TTGT	TATG	ACT	rcct(	CTG 7	CCGT	rgac <sup>-</sup>	ra g	rggg(	СТСТО	G AG	CTAC	ГСGТ	AGG	rgcgtaa	1371
GGC	TCCA	AGTG	TTT	CTGA	AAT 1	GAT(	CTTG/	A T	TACT(	GTGA <sup>*</sup>	r aci	GACA	TGAT	AGT	СССТСТС	1431
ACC	CAG	TGCA	ATG/	ACAA	TAA A	AGGC(	CTTG	4A A	AGTC	TCAC <sup>-</sup>	Т	TATT	GAGA	AAA	ΓΑΑΑΑΑΤ	1491
CGT	TTCC/	ACGG	GAC	AGTC	CCT (	CTTC	ТТА	TA A	AATG/	ACCC <sup>-</sup>	T AT	CCTT	GAAA	AGG	AGGTGTG	1551
TT	<b>A</b> GT	TGTA	ACC	AGTA	CAC	ACTT	GAAA	TG A	TGGT	AAGT	T CG	СТТС	GGTT	CAG	AATGTGT	1611
TC	ПТС	TGAC	AAA	TAAA	CAG	4ATA	AAAA	AA A	AAAA	AAAA	АА					1652

#### INTERNATIONAL SEARCH REPORT

International application No. PCT/US98/21349

• •	SSIFICATION OF SUBJECT MATTER								
US CL :	• • • • • • • • • • • • • • • • • • • •								
According to	International Patent Classification (IPC) or to both n	ational classification and IPC							
	DS SEARCHED								
	ocumentation searched (classification system followed	by classification symbols)							
U.S. : 5	U.S. : 514/2, 12, 44, 903, 907								
Documentati	ion searched other than minimum documentation to the	extent that such documents are included	in the fields searched						
	ata base consulted during the international search (nate Extra Sheet.	me of data base and, where practicable,	search terms used)						
C. DOC	UMENTS CONSIDERED TO BE RELEVANT								
Category*	Citation of document, with indication, where app	propriate, of the relevant passages	Relevant to claim No.						
X	US 5,530,109 A (GOODEARL et al.) 3 to column 6, line 53 and column 11,		1-34						
Furt	her documents are listed in the continuation of Box C	. See patent family annex.							
1	pecial categories of cited documents: ocument defining the general state of the art which is not considered	"T" later document published after the inte date and not in conflict with the app the principle or theory underlying the	lication but cited to understand						
lν	be of particular relevance where document published on or after the international filing date	"X" document of particular relevance; the							
ci	comment which may throw doubts on priority claim(s) or which is ted to establish the publication date of another citation or other	when the document is taken alone "Y" document of particular relevance; the							
•0• de	secial reason (as specified)  cument referring to an oral disclosure, use, exhibition or other  cans	considered to involve an inventive combined with one or more other suc being obvious to a person skilled in	h documents, such combination						
	ocument published prior to the international filing date but later than se priority date claimed	*&* document member of the same paten	t family						
Date of the	actual completion of the international search	Date of mailing of the international sea	·						
17 DECE	EMBER 1998	05FEB	1999						
Commission Box PCT	mailing address of the ISA/US oner of Patents and Trademarks on, D.C. 20231	Authorized officer Acustes C STEPHEN GUCKER	2 Jos						
Facsimile 1		Telephone No. (703) 308-0196	, –						

### INTERNATIONAL SEARCH REPORT

International application No. PCT/US98/21349

Box I	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This in	ternational report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1.	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2.	Claims Nos.:  because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3.	X Claims Nos.: 35-36 because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box I	I Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This I	International Searching Authority found multiple inventions in this international application, as follows:
}	
1. [	As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. [	As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. [	No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Rema	ark on Protest
	No protest accompanied the payment of additional search fees.

#### INTERNATIONAL SEARCH REPORT

International application No. PCT/US98/21349

3. FIELDS SEARCHED Electronic data bases consulted (Name of data base and where practicable terms used):										
APS, MEDLINE, SCISEARCH, EMBASE, E neuregulin#, glia#, heregulin#, GGF#, ischem amyotrophic, Down#, Korsakoff#, heart#, car	nia#, dementia#, Parkinson#, Hu	TECHDS, CONFSCI, LIF intington#, Alzheimer#, in	ESCI farct#,							
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